



Pragya Equities Pvt. Ltd.

504, KAILAS PLAZA, 5TH FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400 077.
PHONE : 2501 7901 / 02 / 03 • FAX : 2501 7904 • Investor Grievance : pepl7545@gmail.com

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|--------------|---|---|---|---|---|---|---|-------|-----------|--|--|--|--|--|--------|--|------------------------|--|------|--|--|--|
| DP ID | 1 | 2 | 0 | 2 | 8 | 1 | 0 | 0 | Client ID | | | | | | | | Internal Reference No. | | | | | |
| Trading Code | | | | | | | | Group | | | | | | | Family | | | | Date | | | |

The Following documents are to be submitted by applicants & should be self certified :

1. One passport size photograph signature across the Photo.
2. Clear photocopy of PAN Card for all holders are required.
3. Proof of Address (Any one) for all holders.
 - Driving Licence • Telephone Bill (Not more than 2 months)
 - Passport • Voter's ID Card
 - Bank Pass Book / Bank Statement (Statement must not be older than 4 months & must be verified by Bank Manager.
 - Valid Leave & Licence Agreement / Agreement for Sales. (Registered)
4. i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or) iii) Photocopy of the Passbook having name and address of the BO, (or) iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.
5. Introduction By existing Account Holder.
6. Signature verified by Applicants Bank Officer.
7. In case Minor Nominee, photocopy of Birth Certificate require.
8. In case of HUF A/c. all above documents are required in Additional HUF PAN card & Declaration.
9. All Signatures in black ink only.
10. All KYC Documents are to be self attested.
11. Bank Proof • Photocopy of Cancelled Cheque.
 - Photocopy of Bank Pass Book or Latest statement.
12. In case of Minor Holder, Photograph of guardian has to be affixed along with minor's photograph.

- Note :**
- The applicant, in case of Individual or Authorised person, in case of others should appear in person before the designated official of DP for "in person" verification and bring the original copy of relevant documents.
 - Names of all the holders should be mentioned in the same pattern as it appears on PAN card.

CKYC No. _____

Client Code : _____

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Member : NSE
Member ID : 12202
SEBI Regn. No. : INZ000236538
Investor Grievance : ignse@nse.co.in
Tel. No. : 2659 8190

Member : BSE
Member ID : 6154
SEBI Regn. No. : INZ000236538
Investor Grievance : is@bseindia.com
Tel. No. : 2272 8097

CEO :

Name : Chetan G. Cholera
Tel. No.: 2501 7901 / 02 / 03
e-mail : pragyaequities@gmail.com

Compliance Officer :

Name : Dhiraj A. Rana
Tel. No.: 2501 7901 / 02 / 03
e-mail : pragyaequities@gmail.com

For any grievance/dispute please contact stock broker at the above address, email id and Phone No. In case not satisfied with the response, please contact the concerned exchange(s) at respective investor grievance, Phone No. given above.

KNOW YOUR CLIENT (KYC) APPLICATION FORM

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

| Sr. No. | Name of the Document | Brief Significance of the Document | Pg Nos. |
|---------|--------------------------------|---|--------------|
| 1 | Account Opening Form | A. KYC form - Document captures the basic information about the constituent and an instructions / Check List. B. Document captures the additional information about the constituent relevant to trading account. | A-1 A-2/3 |
| 2 | Rights and Obligations | Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). | B-1/5 |
| 3 | Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the securities market. | B-6/9 |
| 4 | Guidance note | Document detailing do's and don'ts for trading on exchange, for the education of the investors. | B-10/11 |
| 5 | Policies and Procedures | Document describing significant policies and procedures of the stock broker. | B-12/14 |
| 6 | Tariff sheet | Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) | A-6 |

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

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|---|-----------------------------------|---|---------|
| 1 | Writeup on PMLA | (For Information Only) Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which client needs to know. | B-15/16 |
| 2 | Check List | Instructions for filling KYC form. | B-17/19 |
| 3 | Authorisation for Running Account | For the operational convenience, if a client is dealing frequently and wishes to avoid exchange of funds and securities for every exchange/segment separately and on a daily/due date basis, this document may be signed by the client authoring broker to keep the account as running account across all exchange/segment. | A-4/5 |

Instruction for Signature : Cf – Client's full signature Sf – Sub-broker's full signature